



FIRST CLASS CERTIFICATE OF MAILING

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Judy L. Steinkraus

03/19/2007

Application No.: 10/816,049	Filing Date: 03/31/2004	Docket No.: 74451.P161
Date Mailed: <u>03/19/2007</u>	Due Date: <u>04/04/2007</u>	Atty/Sec: <u>MJM TVR jxs</u>
Client: Ricoh Corporation		
Title: CHECK BOXES FOR IDENTIFYING AND PROCESSING STORED DOCUMENTS		
First Named Inventor: John W. Barnus		
<i>The following has been received in the U.S.P.T.O. on the date stamped hereon:</i>		
Transmittal Letters & Certificate of Mailing		
<input checked="" type="checkbox"/> Transmittal Letter		
<input checked="" type="checkbox"/> Fee Transmittal (original & copy)		
<input checked="" type="checkbox"/> RCE (Request for Continued Examination)		
<input type="checkbox"/> Transmittal of Formal Drawings		
<input type="checkbox"/> Issue Fee Transmittal (original & copy)		
<input type="checkbox"/> Certificate of Mailing		
<input type="checkbox"/> Express Mail No.: _____		
Missing Parts, Formal Papers		
<input type="checkbox"/> Response to Notice of Missing Parts		
Assignment & Cover sheet (____ pgs.)		
<input type="checkbox"/> Declaration & POA (____ pgs.)		
Amendment / Response		
<input checked="" type="checkbox"/> Amendment/Response (19 pgs.)		
<input type="checkbox"/> Examiner's Interview Summary		
<input type="checkbox"/> Other: <u>copy of Advisory Action (3 pages)</u>		
Client No. ID-RII-340		

Petitions & Appeals

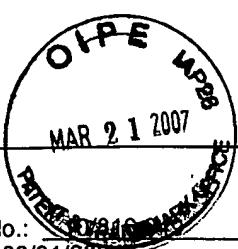
Petition for Extension of Time: one month
 Notice of Appeal
 Appeal Brief & two copies (____ pgs. each)
 Reply Brief (____ pgs.)

Other

Information Disclosure Statement & PTO/SB/08
(____ pgs.) (previously 1449)
 Terminal Disclaimer
 Request to Publish (Rescind NonPublication)
 Drawings: ____ sheets, ____ figures
 Postcard

Checks

Check No. 10079 Amount \$790.00
 Check No. 10080 Amount \$120.00

AMENDMENT TRANSMITTALPATENT

Application No.: 10/184,161
Filing Date: 03/31/2004
First Named Inventor John W. Barrus
Examiner's Name: Tran, Quoc A.
Art Unit: 2176
Attorney Docket No.: 74451.P161

An Amendment After Final Action (37 C.F.R. §1.116) is attached and applicant(s) request expedited action.

Charge any fee not covered by any check submitted to Deposit Account No. 02-2666.

Applicant(s) hereby request and authorize the U.S. Patent and Trademark Office to (1) treat any concurrent or future reply that requires a petition for extension of time as incorporating a petition for extension of time for the appropriate length of time and (2) charge all required fees, including extension of time fees and fees under 37 C.F.R. §§1.16 and 1.17, for any concurrent or future reply to Deposit Account No. 02-2666.

Applicant(s) claim small entity status (37 C.F.R. §1.27).

ATTACHMENTS

Preliminary Amendment

Amendment/Response with respect to Office Action

Amendment/Response After Final Action (37 C.F.R. §1.116) (reminder: consider filing a Notice of Appeal)

Notice of Appeal

RCE (Request for Continued Examination)

Supplemental Declaration

Terminal Disclaimer (reminder: if executed by an attorney, the attorney must be properly of record)

Information Disclosure Statement (IDS)

Copies of IDS citations

Petition for Extension of Time

Fee Transmittal Document (that includes a fee calculation based on the type and number of claims)

Cross-Reference to Related Application(s)

Certified Copy of Priority Document

Other: _____

Other: _____

Checks

Postcard (Return Receipt)

SUBMITTED BY:

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

TYPED OR PRINTED NAME: Michael J. Mallie

SIGNATURE: 

REG. NO.: 36,591

DATE: 03/16/2007

ADDRESS: 12400 Wilshire Boulevard, Seventh Floor

Los Angeles, California 90025

TELEPHONE NO.: (408) 720-8300

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (if applicable)

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Date of Deposit

Judy L. Steinkraus



Name of Person Mailing Correspondence

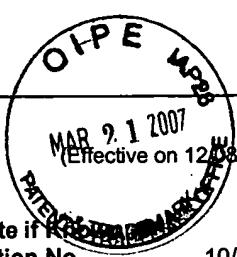
Signature

03/19/2007
Date

Express Mail Label No. (if applicable): _____

(10/14/03)

Send to: COMMISSIONER FOR PATENTS, P.O. Box 1450, Alexandria, Virginia 22313-1450



FEE TRANSMITTAL FOR FY 2007

Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

TOTAL AMOUNT OF PAYMENT (\$) 910.00

Complete if Applicant is Small Entity

Application No. 10/816,049
 Filing Date 03/31/2004
 First Named Inventor John W. Barrus
 Examiner Name Tran, Quoc A.
 Art Unit 2176
 Attorney Docket No. 74451.P161

 Applicant claims small entity status. See 37 CFR 1.27.

METHOD OF PAYMENT (check all that apply)

Checks Credit Card Money Order None Other (please identify) _____

Deposit Account

Deposit Account Number : 02-2666

Deposit Account Name: _____

The Director is Authorized to do the following with respect to the above-identified Deposit Account:
 Charge fee(s) indicated below.

Charge any additional fee(s) or underpayment of fee(s) during the pendency of this application.

Charge fee(s) indicated below except for the filing fee

Credit any overpayments.

Any concurrent or future reply that requires a petition for extension of time should be treated as incorporating an appropriate petition for extension of time and all required fees should be charged.

Warning: Information on this form may become public. Credit card information should not be included on this form.
 Provide credit card information and authorization on PTO-2038.

FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fees Paid (\$)</u>
Fee Code	Fee (\$)	Fee Code (\$)	
1011	300	2011	Utility application filing fee
1111	500	2111	Utility search fee
1311	200	2311	Utility examination fee
			} 1,000/500
1012	200	2012	Design application filing fee
1112	100	2112	Design search fee
1312	130	2312	Design examination fee
			} 430/215
1013	200	2013	Plant filing fee
1113	300	2113	Plant search fee
1313	160	2313	Plant examination fee
			} 660/330
1004	300	2004	Reissue filing fee
1114	500	2114	Reissue search fee
1314	600	2314	Reissue examination fee
			} 1,400/700
1005	200	2005	Provisional application filing fee

			SUBTOTAL (1) \$ _____

2. EXCESS CLAIM FEES

Fee Description

Large Entity		Small Entity		
Fee	Fee	Fee	Fee	
Code	(\$)	Code	(\$)	<u>Fee Description</u>
1202	50	2202	25	Each claim over 20
1201	200	2201	100	Each independent claim over 3
1203	360	2203	180	Multiple dependent claims, if not paid
1204	200	2204	100	Reissue: each claim over 20 and more than in the original patent
1205	50	2205	25	Reissue: each independent claim more than in the original patent

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 C.F.R. 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

<u>Total Sheets</u>	<u>Extra Sheets</u>	<u>Number of each additional 50 or fraction thereof</u>	<u>Fee from below</u>	<u>Fees paid (\$)</u>
_____	– 100 = _____ / 50 = _____ (round up to whole number)	X \$ _____	_____	_____

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description: Application size fee for each additional group of 50 sheets beyond initial 100 sheets (count spec & drawings except sequences & program listings):</u>
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1081	250	2081	125	Utility
1082	250	2082	125	Design
1083	250	2083	125	Plant
1084	250	2084	125	Reissue

SUBTOTAL (3) \$

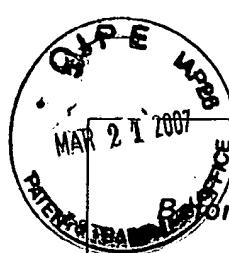
FEE CALCULATION (continued)**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)				<u>Fees Paid (\$)</u>
<u>Large Entity</u>	<u>Small Entity</u>			
Fee Code	Fee (\$)	Fee Code	Fee (\$)	<u>Fee Description</u>
1051	130	2051	65	Surcharge - late filing fee or oath
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet
1053	130	1053	130	Non-English specification
1812	2,520	1812	2,520	For filing a request for ex parte reexamination
1813	8,800	1813	8,800	Request for inter parties reexamination
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action
1251	120	2251	60	Extension for reply within first month
1252	450	2252	225	Extension for reply within second month
1253	1,020	2253	510	Extension for reply within third month
1254	1,590	2254	795	Extension for reply within fourth month
1255	2,160	2255	1,080	Extension for reply within fifth month
1401	500	2401	250	Notice of Appeal
1402	500	2402	250	Filing a brief in support of an appeal
1403	1,000	2403	500	Request for oral hearing
1451	1,510	1451	1,510	Petition to institute a public use proceeding
1452	500	2452	250	Petition to revive - unavoidable
1453	1,500	2453	750	Petition to revive - unintentional
1501	1,400	2501	700	Utility issue fee (or reissue)
1502	800	2502	400	Design issue fee
1503	1100	2503	550	Plant issue fee
1462	400	1462	400	Petitions to the Commissioner (CFR 1.17(f), Group I)
1463	200	1463	200	Petitions to the Commissioner (CFR 1.17(g), Group II)
1464	130	1464	130	Petitions to the Commissioner (CFR 1.17(h), Group III)
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)
1806	180	1806	180	Submission of Information Disclosure Stmt
8021	40	8021	40	Recording each patent assignment per property (times number of properties)
1809	790	2809	395	For filing a submission after final rejection (see 37 CFR 1.129(a))
1814	130	2814	65	Statutory Disclaimer
1810	790	2810	395	For each additional invention to be examined (see 37 CFR 1.129(b))
1801	790	2801	395	Request for Continued Examination (RCE)
1802	900	1802	900	Request for expedited examination of a design application
1504	300	1504	300	Publication fee for early, voluntary, or normal pub.
1505	300	1505	300	Publication fee for republication
1803	130	1803	130	Request for voluntary publication or republication
1808	130	1808	130	Processing fee under 37 CFR 1.17(i) (except provisionals)
1454	1,370	1454	1,370	Acceptance of unintentionally delayed claim for priority
Other fee (specify) _____				
Other fee (specify) _____				
				SUBTOTAL (4) \$910.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:Typed or Printed Name: Michael J. MallieSignature:  Date: 03/16/2007Reg. Number: 36,591 Telephone Number: 408-720-8300

Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



**Advisory Action
Before the Filing of an Appeal Brief**

Application No.

10/816,049

Applicant(s)

BARRUS, JOHN W.

Examiner

Tran A. Quoc

Art Unit

2176

--The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

THE REPLY FILED 05 March 2007 FAILS TO PLACE THIS APPLICATION IN CONDITION FOR ALLOWANCE.

1. The reply was filed after a final rejection, but prior to or on the same day as filing a Notice of Appeal. To avoid abandonment of this application, applicant must timely file one of the following replies: (1) an amendment, affidavit, or other evidence, which places the application in condition for allowance; (2) a Notice of Appeal (with appeal fee) in compliance with 37 CFR 41.31; or (3) a Request for Continued Examination (RCE) in compliance with 37 CFR 1.114. The reply must be filed within one of the following time periods:

- The period for reply expires 3 months from the mailing date of the final rejection.
- The period for reply expires on: (1) the mailing date of this Advisory Action, or (2) the date set forth in the final rejection, whichever is later. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of the final rejection.

Examiner Note: If box 1 is checked, check either box (a) or (b). ONLY CHECK BOX (b) WHEN THE FIRST REPLY WAS FILED WITHIN TWO MONTHS OF THE FINAL REJECTION. See MPEP 706.07(f).

Extensions of time may be obtained under 37 CFR 1.136(a). The date on which the petition under 37 CFR 1.136(a) and the appropriate extension fee have been filed is the date for purposes of determining the period of extension and the corresponding amount of the fee. The appropriate extension fee under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the shortened statutory period for reply originally set in the final Office action; or (2) as set forth in (b) above, if checked. Any reply received by the Office later than three months after the mailing date of the final rejection, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

NOTICE OF APPEAL

2. The Notice of Appeal was filed on _____. A brief in compliance with 37 CFR 41.37 must be filed within two months of the date of filing the Notice of Appeal (37 CFR 41.37(a)), or any extension thereof (37 CFR 41.37(e)), to avoid dismissal of the appeal. Since a Notice of Appeal has been filed, any reply must be filed within the time period set forth in 37 CFR 41.37(a).

AMENDMENTS

3. The proposed amendment(s) filed after a final rejection, but prior to the date of filing a brief, will not be entered because

- They raise new issues that would require further consideration and/or search (see NOTE below);
- They raise the issue of new matter (see NOTE below);
- They are not deemed to place the application in better form for appeal by materially reducing or simplifying the issues for appeal; and/or
- They present additional claims without canceling a corresponding number of finally rejected claims.

NOTE: See Continuation Sheet. (See 37 CFR 1.116 and 41.33(a)).

- The amendments are not in compliance with 37 CFR 1.121. See attached Notice of Non-Compliant Amendment (PTOL-324).
- Applicant's reply has overcome the following rejection(s): _____.
- Newly proposed or amended claim(s) _____ would be allowable if submitted in a separate, timely filed amendment canceling the non-allowable claim(s).
- For purposes of appeal, the proposed amendment(s): a) will not be entered, or b) will be entered and an explanation of how the new or amended claims would be rejected is provided below or appended.

The status of the claim(s) is (or will be) as follows:

Claim(s) allowed: _____.

Claim(s) objected to: _____.

Claim(s) rejected: 1-77.

Claim(s) withdrawn from consideration: _____.

AFFIDAVIT OR OTHER EVIDENCE

- The affidavit or other evidence filed after a final action, but before or on the date of filing a Notice of Appeal will not be entered because applicant failed to provide a showing of good and sufficient reasons why the affidavit or other evidence is necessary and was not earlier presented. See 37 CFR 1.116(e).
- The affidavit or other evidence filed after the date of filing a Notice of Appeal, but prior to the date of filing a brief, will not be entered because the affidavit or other evidence failed to overcome all rejections under appeal and/or appellant fails to provide a showing of good and sufficient reasons why it is necessary and was not earlier presented. See 37 CFR 41.33(d)(1).
- The affidavit or other evidence is entered. An explanation of the status of the claims after entry is below or attached.

REQUEST FOR RECONSIDERATION/OTHER

- The request for reconsideration has been considered but does NOT place the application in condition for allowance because:
See Continuation Sheet.
- Note the attached Information Disclosure Statement(s). (PTO/SB/08) Paper No(s). _____.
- Other: _____.

H. Herndon

Heather R. Herndon
Supervisory Patent Examiner
Technology Center 2100

Quoc A. Tran
Patent Examiner 2176
03-12-2007

Continuation of 3. NOTE: Applicant amended independent claims 1, 33, 34, and 61 to include new issue (i.e. performing the at least one action on the at least one document in response to the identifying the at least one action and the at least one document.) which changed the scope of the claimed invention as whole, which could further required search/or consideration and because no amendment other than canceling claims, where such cancellation does not affect the scope of any other pending claim in the proceeding, See MPEP 714.12 [R-3].

Continuation of 11. does NOT place the application in condition for allowance because: Applicant's arguments filed after the final rejection on 03-05-2007 have been fully considered but they are not persuasive. On pages 16-19, Applicant's remarks revolving the new issues set forth above in item (3), which change the scope of the claimed invention as whole, which could further required search/or consideration.
